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[IEX's Katsuyama Is No Flash In The Pan](#)

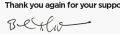
# IEX A Fair, Simple, Transparent Exchange.


## MISSION of The Investors Exchange



### LETTER from Brad Katsuyama, CEO

**To our Self-Side and Buy-Side Partners,**  
On behalf of the entire IEX team, I would like to sincerely thank you all for supporting us throughout our 2015-2016 journey as an exchange. We are excited that the SEC has approved our Exchange filing which puts us on track to commence operations on September 1st, 2016, including our initial public offering on September 2nd.  
It's been quite a journey from working in a traditional room with no money in 2012, launching our IEX, and now completing the lengthy and rigorous public offering process.  
We have faced several obstacles along the way and we worked through the way, but our team and partners realize that our team's hearts and minds are in the right place - we are going to bring our innovation to the exchange by challenging the status quo model for data and technology while also protecting investors and enhancing superior execution quality.  
The IEX team is extremely excited about the road ahead, and we are grateful to all the parties to improve fairness, liquidity and transparency in our industry.  
**Thank you again for your support.**  
  
Brad

### Become a Member

US-registered order executors are eligible to become a Member of Investors Exchange. Order executors must be registered with a Self-Regulatory Organization (SRO) and maintain an active clearing relationship with an NCC-member clearing firm.  
See first step and next to be an IEX ATS Subscriber in order to apply for membership to Investors Exchange.

[Become a Member now](#)

### Investors Exchange Launch Timeline

- Check back for updates to this timeline.
- Mar 10 - 10:00 AM  
Form 1 Public on SEC.gov
- Mar 16  
Begin Accepting Member Waive-in Applications
- Mar 22  
Form 1 published to the Federal Register. 45-day public comment period begins
- Mar 23  
Public comment period ends
- Mar 23  
IEX drafts a 90-day extension on SEC action on IEX exchange application to Mar 21
- Mar 23  
1st Member Testing Opportunity
  - SEC instructs proposers to determine whether to grant or deny IEX's exchange application
  - Form 1 is submitted by Investment May 14, 2016, and is available for public review
  - IEX publishes Notice of Proposed Commission Interpretation Requesting Expedited Consideration Under Regulation 15C
- Mar 27  
IEX files Amendment No. 3 to Form 1, including updates to Exhibits 1 and 2
- Mar 27  
2nd Member Testing Opportunity
- Mar 29  
Deadline for SEC's final determination on whether to grant or deny IEX's Form 1 application for registration as a national securities exchange
- Mar 29  
3rd Member Testing Opportunity
- Mar 29  
Final day to submit ATS Subscriber Applications
- Mar 29  
Recommended target for Member Waive-in Applications
- Mar 30  
4th Member Testing Opportunity
- Mar 30  
5th Member Testing Opportunity
- Mar 31 - April 10
  - Test symbols transition to Investors Exchange (pre-launch period)
  - SEC filing to certify phase to period for Investors Exchange launch\*
- Apr 11  
Final day to submit Member Waive-in Applications

\*The testing to certify phase begins on April 10th and concludes on September 2, 2016. The SEC will approve IEX as an alternative trading venue. During the testing phase, IEX will continue to operate as a clearing firm for its members. IEX will continue to operate as a clearing firm for its members. IEX will continue to operate as a clearing firm for its members. IEX will continue to operate as a clearing firm for its members. IEX will continue to operate as a clearing firm for its members.

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  - Disclosures
  - Pricing
  - Market Data
  - Regulatory
  - ESG Report
  - ESG Report
  - ESG Report
- FAQ
- Partners
- Regulation
  - ESG Report
  - ESG Report
  - ESG Report
- Trading Alerts
  - Track Order
  - ES Mobile App
  - Market
- Stats
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Investment materials from the Investors Exchange market-wide call  
The official IEX mobile app is now available  
IEX is a member of the NYSE Euronext group of companies

## MISSION of The Investors Exchange



### LETTER from Brad Katsuyama, CEO

**To our Self-Side and Buy-Side Partners,**  
On behalf of the entire IEX team, I would like to sincerely thank you all for supporting us throughout our 2015-2016 regulatory process. We are excited that the SEC has approved our Exchange filing which puts us on track to commence operations by September 8, 2016, concluding on September 2nd.  
It's been quite a journey from working to introduce you with us in 2014, launching our IEX, and now completing the lengthy and rigorous regulatory process.  
We have faced several obstacles along the way and we learned along the way, but we have our partners' advice that our team's honesty and resolve are in the end what you care about. We are proud to be the first exchange to challenge the rising cost model for data and technology while also protecting investors and enhancing superior execution quality.  
The IEX team is extremely excited about the road ahead, and we are grateful to all the parties to improve fairness, liquidity and transparency in our industry.  
**Thank you again for your support.**  
*Brad Katsuyama*  
Brad

### Become a Member

US-registered broker-dealers are eligible to become a Member of Investors Exchange. Broker-dealers must be registered with a Self-Regulatory Organization (SRO) and maintain an active clearing relationship with an NCC-member clearing firm.  
See first step and next to be an IEX ATS Subscriber in order to apply for membership to Investors Exchange.

[Become a Member now](#)

### Investors Exchange Launch Timeline

- Check back for updates to this timeline.
- Mar 20 15: Form 1 Public on SEC.gov
- Mar 23: Begin Accepting Member Waive-in Applications
- Mar 23: Form 1 published to the Federal Register; 45-day public comment period begins
- Mar 24: Public comment period ends
- Mar 25: IEX submits a 90-day extension on SEC action on IEX exchange application to Mar 21
- Mar 26: 1st Member Testing Opportunity
  - SEC initiates proceedings to determine whether to grant or deny IEX's exchange application
  - Form 1 is amended by Amendment No. 1 to include our proposed
  - IEX publishes Notice of Proposed Commission Interpretation Request Amended Qualifications Under Regulation ATS
- Mar 27: IEX files Amendment No. 3 to Form 1, including updates to Exhibits 1 and 2
- Mar 28: 2nd Member Testing Opportunity
- Mar 29: Deadline for SEC's final determination on whether to grant or deny IEX's Form 1 application for registration as a national securities exchange
- Mar 30: 3rd Member Testing Opportunity
- Mar 31: Final day to submit ATS Subscriber Applications
- Apr 1: Recommended target for Member Waive-in Applications
- Apr 20: 4th Member Testing Opportunity
- Apr 21: 5th Member Testing Opportunity
- Apr 27 - Apr 29: Test symbols transition to Investors Exchange (pre-launch period)
- Apr 29 - May 1: Security vulnerability phase to period for Investors Exchange launch
- May 2: Final day to submit Member Waive-in Applications

\*The security vulnerability phase begins on April 28th and concludes on September 2, 2016. The SEC will approve IEX as a national securities exchange for the transition period. The security vulnerability phase is a period of time during which the exchange will be subject to enhanced regulatory oversight. The security vulnerability phase is a period of time during which the exchange will be subject to enhanced regulatory oversight. The security vulnerability phase is a period of time during which the exchange will be subject to enhanced regulatory oversight.

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<b>Careers</b>	Disclosures	History
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<b>FAQ</b>	System Alerts	<b>Regulation</b>
<b>Press</b>	Partners	Form 199
<b>Benefits</b>	Market Data	SEC Reports
	Trading Alerts	Clearing & Settlement Policy
		Business Continuity
		SEC Request
		Support
		<b>Apps</b>
		Track Server
		IEX Mobile App
		Market
		<b>Stats</b>
		1st 5 Trading Day
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